# Deep reinforcement learning and simulation as a path toward precision medicine

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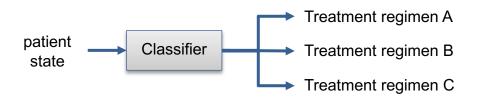


#### Precision medicine as a control problem

#### <u>Traditional precision medicine</u> <u>Classify then treat</u>

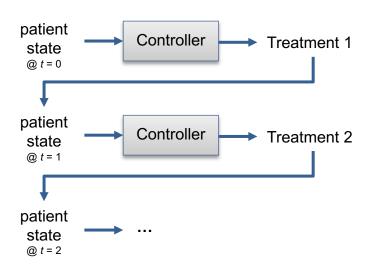
"...the ability to classify individuals into subpopulations that differ in their susceptibility to a particular disease or their response to a specific treatment."

- National Research Council



- Viewed as a classification task
- Therapies are <u>static</u> and <u>non-</u> <u>adaptive</u>

#### <u>Proposed vision</u> Dynamic, feedback control



- Viewed as an optimal control task
- Therapies are <u>dynamic</u> and <u>adaptive</u>
  - Dependent upon patient trajectory





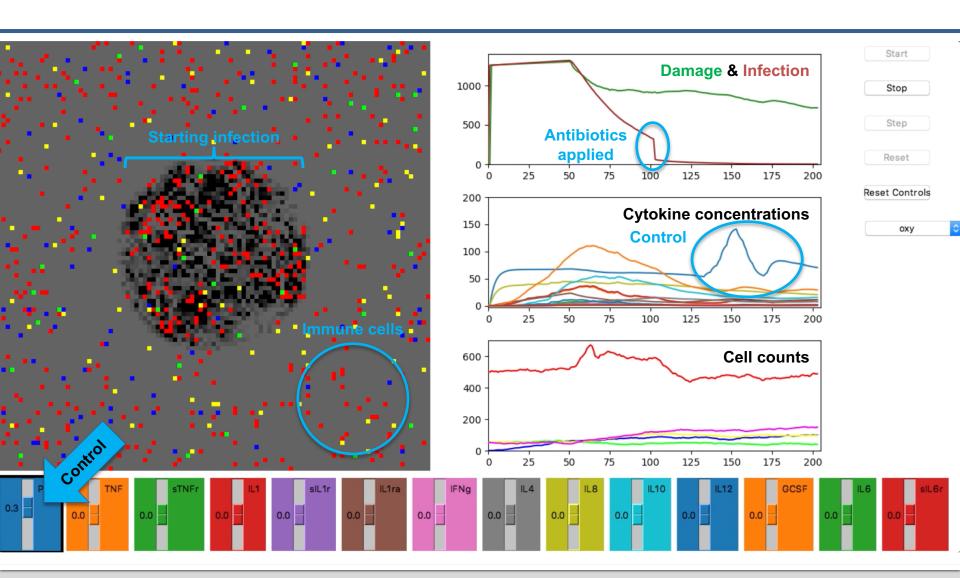
#### The need for simulation

- Many control approaches use existing data to retrospectively learn control policies
- Simulation enables virtual experimentation: going beyond what has been tried
- Recent advances in optimal control have enabled learning controllers for complex, highdimensional simulations

	Learning controllers using		
	Clinical Data Biological Simulation		
<b>Scope</b> of interventions	Limited to what's already been tried	Able to explore new interventions and/or combinations	
<i>Interpretability</i> of interventions	Limited by statistical power of existing data	Limited only by computation	
<i>Dimensionality</i> of interventions	Low-dimensional, discrete (e.g. 1 – 2 drugs, 3 doses)	High-dimensional, continuous	
<b>Dynamics</b> of interventions	Typically static	Dynamic, adaptive	



# Sepsis agent-based simulation – Demo



## Reinforcement learning (RL)



observation

choose the

#### action

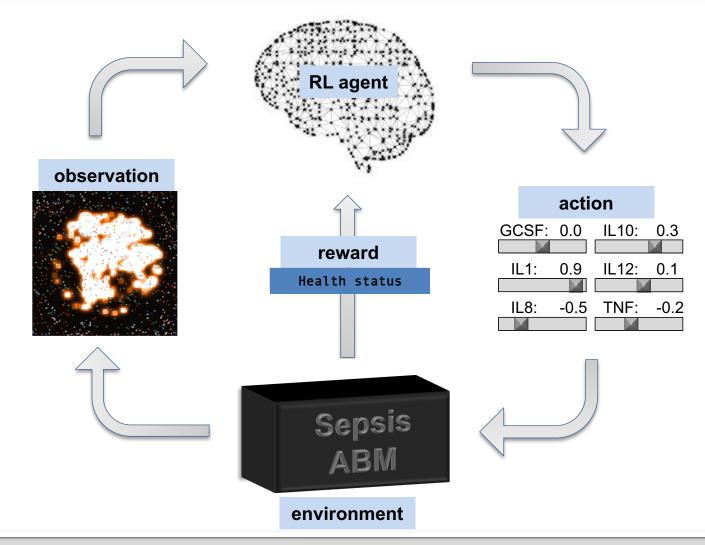
expected to maximize the cumulative

reward

#### **RL** agent

learns by interacting with the

environment

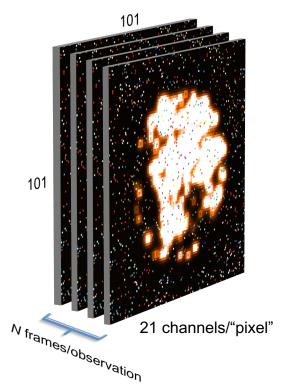


#### observation

action

reward

# **Problem Formulation: Observation Space**



#### **Observation Space**

large, spatial	small, aggregate
Cytokine level + cell counts at each grid point	Aggregate cytokine levels + cell counts (non-spatial)
Size: $\mathbb{R}^{101\times101\times21\times N}$	Size: $\mathbb{R}^{21\times N}$
Clinically unrealistic with today's technology	Clinically plausible from blood tests

# **Problem Formulation: Action Space**

observation

action

reward

GCSF: 0.0

IL1: 0.9

IL8: -0.5

IL10: 0.3

IL12: 0.1

TNF: -0.2

141. -0.

Action Space

large, continuous	small, discrete
Differentially control all cytokines at once	Augment or inhibit by a fixed amount; One cytokine at a time
Size: [-1, 1] <sup>14</sup>	Size: 29
Clinically plausible with multi-channel infusion pump	Clinically plausible

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 The simulation naturally provides only sparse, binary rewards: life/death

$$r_{\text{outcome}} = \lambda_{+}[\text{heal}] - \lambda_{-}[\text{die}]$$

- To aid learning, we added two terms to the reward signal
  - 1. Potential-based reward shaping term
    - Helps guide the RL agent toward "good" states without altering the optimal policy  $r_{\phi} = \lambda_{\phi} \big( \mathrm{damage}(s) \mathrm{damage}(s') \big)$
  - 2. A penalty for taking actions
    - Regularizer; promotes conservative actions

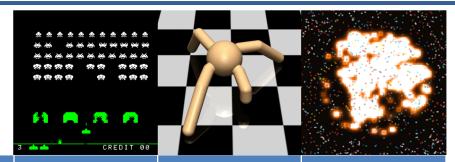
$$r_a = -\lambda_a ||a||_1$$

• Final reward signal:  $r(s, a, s') = r_{\text{outcome}} + r_{\phi} + r_{a}$ 



# Unique challenges of the sepsis environment

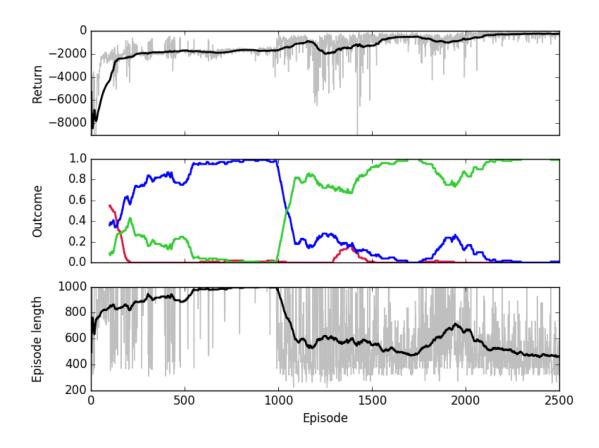
Failed to solve using human experience, genetic algorithms, and classify → control approaches



Challenge	Atari 2600	MuJoCo	Sepsis
High-dimensional state	<b>√</b>	✓	✓
High-dimensional actions		✓	<b>√</b>
Sparse rewards	sometimes		✓
Long time horizons			✓
Computationally expensive			<b>√</b>
Unsolvable by humans			<b>√</b>
Stochastic	None	None	High
Each episode has different dynamics			<b>√</b>

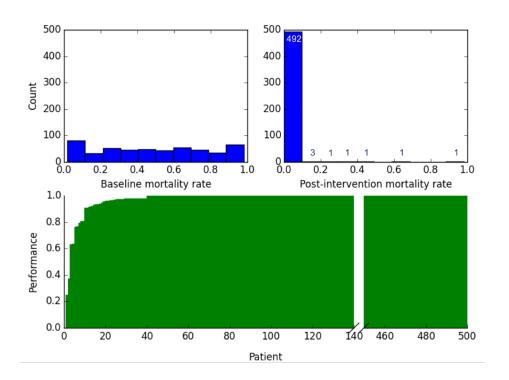
## Training the DRL agent

- Environment is "solved" by 2,500 episodes
- Distinct "phases" of learning



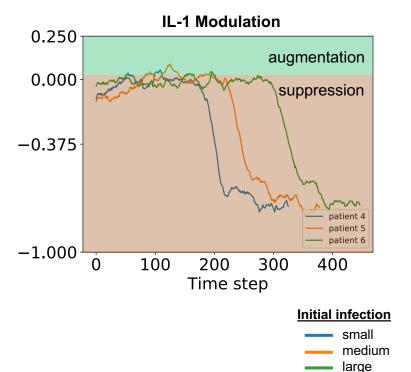
## **Evaluating the learned policy**

- Mortality rate under learned policy
  - Trained patient: 46% → 0%
  - Across 500 patients: 49% → 0.8%



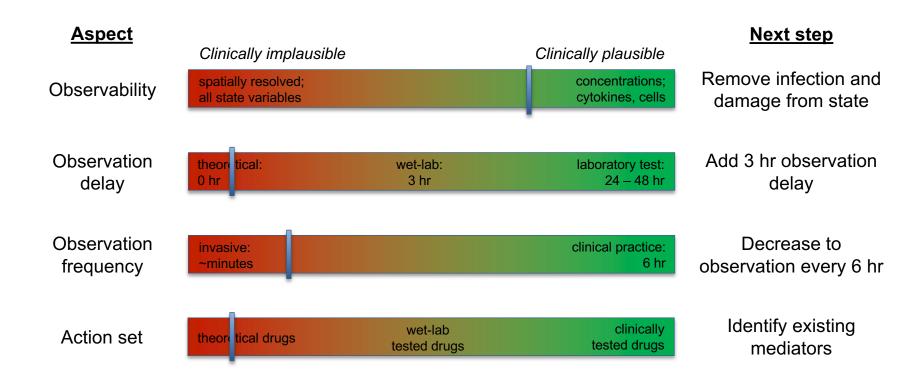
#### Clinical insight

- IL-1 (pro-inflammatory) is unregulated early and suppressed late
- Suppression comes later for patients with larger initial infections

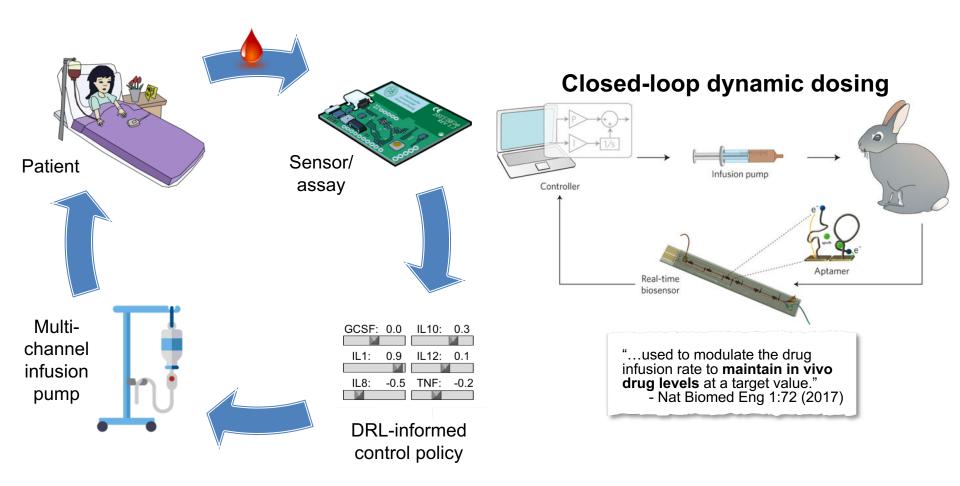


## **Next steps: Improving clinical plausibility**

Tradeoff between controllability and clinical relevance



### Long-term vision: Closed-loop control system



https://openclipart.org/https://www.mediware.com/home-care/blog/new-legislation-help-home-infusion-patients/

#### Thank you!

See Tom Desautel's poster!